Attorney Docket No. P1703USA



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: F. Gramnas

Group Art Unit: to be assigned

Application No. 10/018,046

Examiner: to be assigned

Filed:

December 7, 2001

For:

 \boxtimes

A DEVICE IN A LEG PROSTHESIS PROVIDED WITH A FOOT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is material, relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

In re Appln. of F. Gramnas Application No. 10/018,046

| | after (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes <i>one</i> of: |
|-------------|--|
| | the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below). |
| | or the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below). |
| | after the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below). |
| | after the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and within thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below). NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed. |
| Copie | s of the References |
| \boxtimes | Copies of the references listed on the enclosed Form 1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). |
| \boxtimes | A copy of the foreign search report is enclosed herewith. |
| | The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below: |

for that purpose.)

| U.S. APPLI | CATIONS | Status (check one) | | | |
|-------------------|------------------|--------------------|---------|-----------|--|
| U.S. APPLICATIONS | U.S. FILING DATE | PATENTED | PENDING | ABANDONED | |
| 1. | | | | | |
| 2. | - | | | | |
| 3. | | | | | |

| 2. | | | | | | | |
|-------------|---|---|------------------------------|------------------------------|-------------------------------------|--|--|
| 3. | | | | | · | | |
| State | ment under 37 CFR 1.9 | 97(e) | | | | | |
| | The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement. | | | | | | |
| | The undersigned hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. | | | | | | |
| State | ment under 37 CFR 1. | 704(d) | | | | | |
| | The undersigned he Information Disclosur office in a counterpar any individual design of the Information Dis | re Statement was cited t application and that ated in 37 CFR 1.56(o | in a communition this commun | ication from a ication was i | a foreign patent not received by | | |
| Fees | | | | | | | |
| | No fee is owed by the The IDS Fee of \$180 | | is enclosed he | erewith. | | | |
| Meth | od of Payment of Fees | | | | | | |
| | Attached is a check in the amount of \$. Charge Deposit Account No. 07-0181 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.) | | | | | | |
| Autho | orization to Charge Ad | lditional Fees | | | | | |
| \boxtimes | If any additional fees are owed in connection with this communication, please charge Deposit Account No. 07-0181. (A duplicate copy of this communication is enclosed | | | | | | |

In re Appln. of F. Gramnas Application No. 10/018,046

Instructions as to Overpayment

Credit Account No. 07-0181.

George M. Carrera, Jr., Reg. No. 50, 717

Patent Agent

GARDNER CARTON & DOUGLAS

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Date: March 6, 2003

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: March 6, 2003

Carol E. Arellano

IDS (Rev. 2/1/2001)

CH02/22213768.1



Certificate of Mailing under 37 CFR § 1.8

I hereby certify that this INFORMATION DISCLOSURE STATEMENT and the enclosures listed below are being deposited on March 6, 2003 with the United States Postal Services with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents & Trademarks Washington, D.C. 20231

RECEIVED

MAR 2 6 2003

TECHNOLOGY CENTER P22

Signature

Carol E. Arellano

Type or print name of person mailing this Certificate

Title:

A DEVICE IN A LEG PROSTHESIS PROVIDED WITH A FOOT

Serial No.:

10/018,046

Enclosed:

- 1. Information Disclosure Statement (4 pgs.)
- 2. PTO Form 1449 (1 pg.)
- 3. References (16 patents, 2 non-patent)
- 4. A return postcard

Attorney Docket No.: P1703USA

CH02/22232641.1

| Please type a plus sign (+) insi | ide mi Oox - F |
|----------------------------------|----------------|
| Substitute for form 1449A/B | MAR 1 1 2003 |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)
Sheet 1 of

| | Complete if Known | |
|------------------------|-------------------------------|------------|
| Application Number | 10/018,046 | Pro |
| Filing Date | December 7, 2001 | CCA |
| First Named Inventor | F. Gramnas | AR |
| Group Art Unit | To be assigned CHAO | 26 20 |
| Examiner Name | To be assigned To be assigned | OGY ~ (003 |
| Attorney Docket Number | P1703USA | LENTER |
| | | 7370 |

| | | | | U.S. PATENT DOCUMENTS | | |
|-------------------|-------------|---------------------------------|-----------|-------------------------------|---------------------|-------------------------------|
| | | U.S. Patent Do | cument | | | |
| Examiner Initials | Doc. No. | Application or Patent Number | Kind Code | Name of Patentee or Applicant | Date of Publication | Filing Date If Appropriate |
| | AA | 2,470,480 | Α | S. R. Fogg | May 17, 1949 | |
| | AB | 2,749,557 | Α | G.C. Riddle | April 15, 1955 | |
| | AC | 3,754,286 | Α | M. Ryan | August 28, 1973 | |
| | AD | 3,851,337 | Α | K. Prahl | December 3, 1974 | |
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| | AF | 5,258,038 | Α | E. Robinson et al. | November 2, 1993 | |
| | AG | 5,957,981 | Α | F. Gramnas | September 28, 1999 | |
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| | | | | FO | REIGN PATENT DOCUMENTS | | | |
|----------------------|-------------------------|--------|---------------------------------|--------------|-------------------------------|---------------------|-----|------|
| | Foreign Patent Document | | | | | Translation | | |
| Examiner Initials | Doc. No. | Office | Application or Patent Number | Kind Code | Name of Patentee or Applicant | Date of Publication | Yes | No*+ |
| | ВА | DE | 818,828 | | A. Schwarz | October 29, 1951 | х | |
| | ВВ | DE | 838,480 | | W. Gunderman | May 8, 1952 | | + |
| | ВС | FR | 800,547 | | C. & E. Streisguth | July 7, 1936 | | + |
| | ВD | SE | 456,134 | | Y. Ljungblad | September 12, 1988 | | + |
| | BE | SE | 469,780 | | Gramtec Innovation AB | September 13, 1993 | | + |
| | BF | SE | 511,750 | | Gramtec Innovation AB | August 22, 1996 | | + |
| | BG | wo | 88/06431 | A1 | Y. Ljungblad | September 7, 1988 | | |
| | вн | WO | 91/15171 | A1 | F. Gramnas | October 17, 1991 | | |
| | ВІ | wo | 96/25898 | A1 | Gramtec Innovation AB | August 29, 1996 | | |

| | | OTHER - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, | Trans | lation |
|----------------------|--|---|-------|--------------|
| Examiner Initials | No. Doc. No. No. No. No. No. No. No. N | | Yes | No*+ |
| _ | CA | International Search Report mailed from the Swedish Patent Office on September 14, 2000 | | |
| | СВ | International Preliminary Examination Report mailed from the Swedish Patent Office on June 15, 2001 | | |
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| Examiner Signature | Date Considered | |
|--------------------|-----------------|--|

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

⁺ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).